

**Annexure- B**

**Complaint Data for Investment Advisory Services**

**Data for the month ending – March 31, 2024**

<b>Sr No</b>	<b>Received from</b>	<b>Pending at the end of last month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Total pending</b>	<b>Pending complaints &gt; 3 Months</b>	<b>Average resolution time ^ (in days)</b>
<b>1</b>	<b>Directly from Investors</b>	NIL	NIL	NIL	NIL	NIL	-
<b>2</b>	<b>SEBI (SCORES)</b>	NIL	NIL	NIL	NIL	NIL	-
<b>3</b>	<b>Other Sources (if any)</b>	NIL	NIL	NIL	NIL	NIL	-
	<b>Grand Total</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>NIL</b>	<b>-</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

**Trend of monthly disposal of complaints**

<b>Sr No</b>	<b>Month</b>	<b>Carried forward from previous month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Pending#</b>
1	Apr-23	NIL	NIL	NIL	NIL
2	May-23	NIL	NIL	NIL	NIL
3	June-23	NIL	NIL	NIL	NIL
4	July-23	NIL	NIL	NIL	NIL
5	August-23	NIL	NIL	NIL	NIL
6	September-23	NIL	NIL	NIL	NIL
7	October-23	NIL	NIL	NIL	NIL
8	November-23	NIL	NIL	NIL	NIL
9	December-23	NIL	NIL	NIL	NIL
10	January-24	NIL	NIL	NIL	NIL
11	February-24	NIL	NIL	NIL	NIL
12	March 24	NIL	NIL	NIL	NIL

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

### Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	NIL	NIL	NIL	NIL
2	2019-20	NIL	NIL	NIL	NIL
3	2020-21	NIL	NIL	NIL	NIL
4	2021-22	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL

\*\* Inclusive of complaints of previous years resolved in the current year.

## Inclusive of complaints pending as on the last day of the year.